



IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

IN RE APPLICATION OF: Walter TOETSCH, et al.

SERIAL NO: 10/511,280

GAU: 1621

FILED: October 22, 2004

EXAMINER: WITHERSPOON, SIKARL A

FOR: PROCESS FOR THE RHODIUM-CATALYZED HYDROFORMYLATION OF OLEFINS WITH REDUCTION OF RHODIUM LOSSES

INFORMATION DISCLOSURE STATEMENT UNDER 37 CFR 1.97

COMMISSIONER FOR PATENTS
ALEXANDRIA, VIRGINIA 22313

SIR:

Applicant(s) wish to disclose the following information.

REFERENCES

- ☐ The applicant(s) wish to make of record the references listed on the attached form PTO-1449. Copies of the listed references are attached, where required, as are either statements of relevancy or any readily available English translations of pertinent portions of any non-English language references.
- ☐ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

RELATED CASES

- ☒ Attached is a list of applicant's pending application(s), published application(s) or issued patent(s) which may be related to the present application. In accordance with the waiver of 37 CFR 1.98 dated September 21, 2004, copies of the cited pending applications are not provided. Cited published and/or issued patents, if any, are listed on the attached PTO form 1449.
- ☒ A check or credit card payment form is attached in the amount required under 37 CFR §1.17(p).

CERTIFICATION

- ☐ Each item of information contained in this information disclosure statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this statement.
- ☐ No item of information contained in this information disclosure statement was cited in a communication from a foreign patent office in a counterpart foreign application or, to the knowledge of the undersigned, having made reasonable inquiry, was known to any individual designated in 37 CFR §1.56(c) more than three months prior to the filing of this statement.

DEPOSIT ACCOUNT

- ☒ Please charge any additional fees for the papers being filed herewith and for which no check or credit card payment is enclosed herewith, or credit any overpayment to deposit account number 15-0030. A duplicate copy of this sheet is enclosed.

Respectfully submitted,

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Form PTO 1449
(Modified)U.S. DEPARTMENT OF COMMERCE
PATENT AND TRADEMARK OFFICEATTY DOCKET NO.
257970US0X PCTSERIAL NO.
10/511,280

LIST OF REFERENCES CITED BY APPLICANT

APPLICANT
Walter TOETSCH, et al.FILING DATE
October 22, 2004GROUP
1621

U.S. PATENT DOCUMENTS

EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AA	6,015,928	01/18/2000	GUBISCH, et al.			
	AB	6,239,318	05/29/2001	SCHULER, et al.			
	AC	6,331,657	12/18/2001	KAIZIK, et al.			
	AD	6,407,295	06/18/2002	KAIZIK, et al.			
	AE	6,403,836	06/11/2002	KAIZIK, et al.			
	AF	6,482,992	11/19/2002	SCHOLZ, et al.			
	AG	6,680,414	01/20/2004	KNOOP, et al.			
	AH	6,627,782	09/30/2003	KAIZIK, et al.			
	AI	6,720,457	04/13/2004	DREES, et al.			
	AJ	6,960,699	11/01/2005	TOTSCH, et al.			
	AK	US 2006/0183936 A1	08/17/2006	GRASS, et al.			
	AL	US 2005/0234270 A1	10/20/2005	KAIZIK, et al.			
	AM	US 2005/0209489 A1	09/22/2005	MOLLER, et al.			
	AN	US 2006/0128998 A1	06/15/2006	LUEKEN, et al.			

FOREIGN PATENT DOCUMENTS

		DOCUMENT NUMBER	DATE	COUNTRY	TRANSLATION	
					YES	NO
	AO					
	AP					
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	AR					
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	AU					
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OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

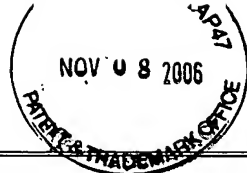
	AW	
	AX	
	AY	
	AZ	

☐ Additional References sheet(s) attached

Examiner

Date Considered

*Examiner: Initial if reference is considered, whether or not citation is in conformance with MPEP 609; Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to applicant.



Form PTO 1449 (Modified)		U.S. DEPARTMENT OF COMMERCE PATENT AND TRADEMARK OFFICE		ATTY DOCKET NO. 257970US0X PCT		SERIAL NO. 10/511,280	
LIST OF REFERENCES CITED BY APPLICANT				APPLICANT Walter TOETSCH, et al.			
				FILING DATE October 22, 2004		GROUP 1621	
U.S. PATENT DOCUMENTS							
EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAA	US 2006/0161017 A1	07/20/2006	GRASS, et al.			
	ABA	US 2006/0036121 A1	02/16/2006	KAIZIK, et al.			
	ACA	US 2006/0129004 A1	06/15/2006	LUEKEN, et al.			
	ADA	6,184,424	02/06/2001	BUESCHKEN, et al.			
	AEA	6,555,716	04/29/2003	PROTZMANN, et al.			
	AFA	US 2005/0256281 A1	11/17/2005	GRUND, et al.			
	AGA	6,492,564	12/10/2002	WIESE, et al.			
	AHA	6,570,033	05/27/2003	ROTTGER, et al.			
	AIA	6,818,770	11/16/2004	SELENT, et al.			
	AJA	7,109,346	09/19/2006	BELLER, et al.			
	AKA	6,924,389	08/02/2005	JACKSTELL, et al.			
	ALA	7,009,068	03/07/2006	SCHMUTZLER, et al.			
	AMA	US 2004/0236133 A1	11/25/2004	SELENT, et al.			
	ANA	US 2004/0238787 A1	12/02/2004	WIESE, et al.			
FOREIGN PATENT DOCUMENTS							
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	AOA						
	APA						
	AQA						
	ARA						
	ASA						
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	AVA						
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	AWA						
	AXA						
	AYA						
	AZA					<input type="checkbox"/> Additional References sheet(s) attached	
Examiner					Date Considered		
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EXAMINER INITIAL		DOCUMENT NUMBER	DATE	NAME	CLASS	SUB CLASS	FILING DATE IF APPROPRIATE
	AAB	US 2004/0242947 A1	12/02/2004	BELLER, et al.			
	ABB	US 2005/0043279 A1	02/24/2005	SELENT, et al.			
	ACB	6,956,133	10/18/2005	JACKSTELL, et al.			
	ADB	US 2006/241324 A1	10/26/2006	MOELLER, et al.			
	AEB						
	AFB						
	AGB						
	AHB						
	AIB						
	AJB						
	AKB						
	ALB						
	AMB						
	ANB						

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					YES	NO
	AOB					
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	AQB					
	ARB					
	ASB					
	ATB					
	AUB					
	AVB					

OTHER REFERENCES (Including Author, Title, Date, Pertinent Pages, etc.)

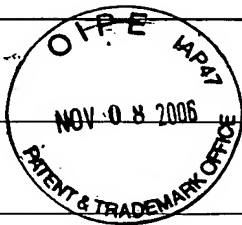
	AWB	
	AXB	
	AYB	
	AZB	

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	Docket No.: 257970US0X PCT	Serial No.: 10/511,280
LIST OF RELATED CASES CITED BY APPLICANT UNDER 37 CFR 1.56	Inventor: Walter TOETSCH, et al.	
	Filing Date: October 22, 2004	Group: 1621

LIST OF RELATED CASES

<u>Examiner Initial</u>	<u>Docket No.</u>	<u>Serial or Patent Number</u>	<u>Filing or Issue Date</u>	<u>Patent App. Publication No.</u>	<u>Inventor or Applicant</u>
	0689-0722-0	6,015,928	01/18/00		GUBISCH, et al.
	9350-0094-0	6,239,318	05/29/01		SCHULER, et al.
	9350-0095-0	6,331,657	12/18/01		KAIZIK, et al.
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*Present Application; listed for information
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Examiner

Date Considered